

DOCKET NO. BAO 39

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Zhenan Bao

Serial No.: N/A

Filed: Herewith

For: ORGANIC FIELD EFFECT TRANSISTORS WITH  
ACTIVE CHANNELS FORMED OF DENSIFIED LAYERS

Group No.: N/A

Examiner: N/A

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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<u>12-4-2003</u> Date of Mailing	<u>Elizabeth Schumacher</u> Typed or printed name of person mailing
	<u>Elizabeth Schumacher</u> Signature of person mailing

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449. Copies of the listed references are submitted herewith.

<u>U.S. Patent No.</u>	<u>Inventor</u>	<u>Date</u>
6,596,569 B1	Bao et al.	July 22, 2003
6,555,411 B1	Bao et al.	April 29, 2003

References:

Jan Genzer and Kirill Efimenko; "CREATING LONG LIVED SUPERHYDROPHOBIC POLYMER SURFACES THROUGH MECHANICALLY ASSEMBLED MONOLAYERS"; Science Vol 290, 15 December 2000; Pgs. 2130-2133.

J. Collet, S. Lenfant, D. Vuillaume; O. Bouloussa, F. Rondelez, J.M Gay, K. Kham and C. Chevrot; "HIGH ANISOTROPIC CONDUCTIVITY IN ORGANIC INSULATOR/SEMICONDUCTOR MONOLAYER HETEROSTRUCTURE"; 2000 American Institute of Physics; Applied Physics Letters, Vol 76, No 10, 6 March 2000; Pgs. 1339-1341.

X. Linda Chen, Andrew J. Lovinger, Zhenan Bao and Joyce Sapjeta; "MORPHOLOGICAL AND TRANSISTOR STUDIES OF ORGANIC MOLECULAR SEMICONDUCTORS WITH ANISOTROPIC ELECTRICAL CHARACTERISTICS"; 2001 American Chemical Society, Chem. Mater. 2001, 13; Pgs. 1341-1348.

Guofeng Xu, Zhenan Bao and John T. Groves: "LANGMUIR-BLODGETT FILMS OF REGIOREGULAR POLY (3-HEXYLTHIOPHENE) AS FIELD-EFFECT TRANSISTORS"; 2000 American Chemical Society, Langmuir 2000, 16, Pgs. 1834-1841.

Karl R. Amundson, B. Joyce Sapjeta, Andrew J. Lovinger, Zhenan Bao; "AN IN-PLANE ANISTROPHIC ORGANIC SEMICONDUCTOR BASED UPON POLY (3-HEXYL THIOPHENE); Elsevier Science B.V., Thin Solid Films 414 (2002); Pgs. 143-149.

H. Sirringhaus, R.J. Wilson, R.H. Friend, M. Inbasekaran, W. Su, E.P. Woo, M. Grell and D.D.C. Bradley; "MOBILITY ENHANCEMENT IN CONJUGATED POLYMER FIELD-EFFECT TRANSISTORS THROUGH CHAIN ALIGNMENT IN A LIQUID CRYSTALLINE PHASE"; American Institute of Physics, Applied Physics Letters, Vol 77, No 3; 17 July 2000; Pgs. 406-408.

Raluca I. Gearba, Matthias Lehmann, Jeremy Levin, Dimitri A. Ivanov, Michel H. J. Koch, Joaquin Barbera, Michael G. Debije, Jorge Piris and Yves H. Geerts; "TAILORING DISCOTIC MESOPHASES: COLUMNAR ORDER ENFORCED WITH HYDROGEN BONDS"; Advanced Materials 2003, 15, No. 19, October 2; Pgs. 1614-1618.

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure

Statement. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 08-2395.

Respectfully submitted,

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A handwritten signature in black ink, appearing to read "Charles W. Gaines", written over a horizontal line.

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Registration No. 36,804

Date: December 4, 2003

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete if Known**

Application Number	N/A
Filing Date	Herewith
First Named Inventor	Zhenan Bao
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	BAO

Sheet	1	of	2
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	N/A
				Filing Date	Herewith
				First Named Inventor	Zhenan Bao
				Art Unit	N/A
				Examiner Name	N/A
Sheet	2	of	2	Attorney Docket Number	BAO

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Jan Genzer and Kirill Efimenko; "CREATING LONG LIVED SUPERHYDROPHOBIC POLYMER SURFACES THROUGH MECHANICALLY ASSEMBLED MONOLAYERS"; Science Vol 290, 15 December 2000; Pgs. 2130-2133.	
		J. Collet, S. Lenfant, D. Vuillaume; O. Bouloussa, F. Rondelez, J.M Gay, K. Kham and C. Chevrot; "HIGH ANISOTROPIC CONDUCTIVITY IN ORGANIC INSULATOR/SEMICONDUCTOR MONOLAYER HETEROSTRUCTURE"; 2000 American Institute of Physics; Applied Physics Letters, Vol 76, No 10, 6 March 2000; Pgs. 1339-1341.	
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